

# HCBS Final Rule Evidentiary Package

## *Haven Homes Assisted Living*



*Sign at entrance to Haven Homes Assisted Living*



*Photo of Haven Homes Assisted Living building*

## Setting information

**Setting name:** Haven Homes Assisted Living

**Street address:** 4848 Gateway Blvd, Maple Plain MN 55359

**Phone:** (763) 292-2300

**ID #** 412417

**Setting website, if applicable:**

[Haven Homes Assisted Living website](#)

**Date of site visit:** 5/15/2024

## Waiver service type

Waiver	Service type
<input type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized living service

## Reason for heightened scrutiny

Prong type	Name of institution
Prong 1 Located in a Public or Private Institution	Haven Homes

Note: The term people/person (resident for residential settings) refers to people receiving Medicaid HCBS waiver service

## General summary

<p><b>Geographic information:</b></p> <p><i>Haven Homes Assisted Living is a licensed assisted living facility located on a wellness-focused, amenity-rich senior living community of care campus. The campus includes independent living, assisted living and memory care apartments on one side and a skilled nursing facility on the opposite side of the campus.</i></p> <p><i>The setting is located in a mixed residential and commercial area of Maple Plain, MN. To the east of the campus is residential homes and to the west of the campus, businesses such as restraunts and dental offices are within walking distance.</i></p> <p><i>Maple Plain is a suburban town located approximately 20 miles to the west of Minneapolis, MN. According to the 2022 census, Maple Plain was home to 1,672 people.</i></p> <p><b>Number of people served:</b></p>
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*The current license capacity for the HCBS building is 100 total residents. As of May 15, 2024, the current occupancy included:*

*47 residents in Assisted Living apartments,*

*18 residents in Assisted Living Memory Care apartments, and*

*21 residents in Independent Living apartments.*

*Of the current 86 residents, six residents live in an Assisted Living apartment funded by Elderly Waiver. No residents in the Assisted Living Memory Care are funded by Elderly Waiver.*

## **Customized living provider standards/qualifications**

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized Living settings must have an Assisted Living license through the MN Department of Health and meet all of the requirements and standards of the Assisted Living licensure, [Minn. Stat. 144G](#) or meet an exemption under [Mn Statute 256S.20 Subdivision 1](#). Customized Living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified setting with an assisted living license.

## **Customized living service definitions that support the setting requirements**

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide task\_(e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting enrollees with arranging meetings and appointments, assisting with money management, assisting participants with scheduling medical and social services, and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each see the following resource on the CBSM:

Link: [Customized living component service definitions and guide for computing time for rate-setting tools.](#)

Minnesota's Community Based Services Manual (CBSM) provides the following requirements for customized living services:

Link: [CBSM: Customized living \(including 24-hour customized living\)](#)

## Prong 1 and Prong 2 settings

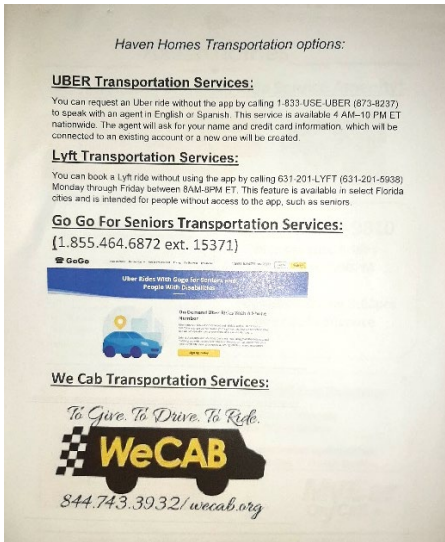
### Meaningful distinction between the facility and HCBS setting

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

HCBS Rule requirement	Compliance determination
<p><b>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> State license requirements: As required under Mn Statute 144G.10, “Each assisted living facility must employ an assisted living director licensed or permitted by the Board of Executives for Long Term Services and Supports.”</li> </ul> <p><b>Narrative:</b></p> <p>Haven Homes Assisted Living is a licensed assisted living facility located on a community of care campus that includes independent living, assisted living and memory care apartments. The skilled nursing facility is located in a separate building on the campus, however, the buildings are connected by the main building entrance.</p> <p>Per interviews with the assisted living administration, the campus administrator has authority over all settings on the campus, including the Assisted Living and Assisted Living Memory Care apartments, Independent Living apartments and the skilled nursing facility. The customized living setting has a designated housing director, activities director and director of health services which are separate from the skilled nursing facility administration.</p>	Compliant
<p><b>To the extent any facility staff are assigned occasionally or on a limited basis to support or back up the HCBS staff, the facility staff are cross-trained to meet the same qualifications as the HCBS staff.</b></p> <p><b>Validation methods:</b></p>	Compliant

<p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with direct care staff.</p> <p><input checked="" type="checkbox"/> Review of training policy and procedure(s).</p> <p><input checked="" type="checkbox"/> State license requirements: As required under Mn Statute 144G.60, “All staff persons providing assisted living services must be trained and competent in the provision of services consistent with current practice standards appropriate to the resident's needs, and promote and be trained to support the assisted living bill of rights.”</p> <p><b>Narrative:</b></p> <p>Per interviews with administration and direct care staff and review of the setting’s policy and procedure, the skilled nursing facility staff that are cross trained to provide services to residents in the assisted living setting and the memory care setting are required to receive the same training as assisted living facility staff for home and community-based support in a manner consistent with the HCBS settings regulations. If a direct care staff person is hired to work in both settings, they are scheduled to provide services in only one location per shift.</p>	
<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the facility setting, to the exclusion of other options.</b></p> <p><b>Validation methods:</b></p> <p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with direct care staff.</p> <p><input checked="" type="checkbox"/> Review of transportation policy and procedure(s).</p> <p><input checked="" type="checkbox"/> State license requirements: As required under Mn Statute 144G.41, “Upon request of the resident, the assisted living must provide direct or reasonable assistance with arranging for transportation to medical and social services appointments, shopping, and other recreation, and provide the name of or other identifying information about the persons responsible for providing this assistance.”</p> <p><b>Narrative:</b></p>	Compliant

Per interviews with administration, direct care staff and residents, residents who live at this setting do not have to rely on transportation provided by the facility setting. Residents here use many different means of transportation. These include Uber, Lyft, Go Go For Seniors and We Cab Transportation services. People are told about these options when they move in and whenever they request assistance with rides. The Director of Health Services and nursing staff are the contacts for assistance with transportation, as well as the staff at the front desk. Residents report that they commonly use family for transportation also. The setting also recently purchased its own accessible bus to allow for more transportation options.



Local transportation resource list

**The setting provides HCBS services in a space that is distinct from the space that institutional services are provided.**

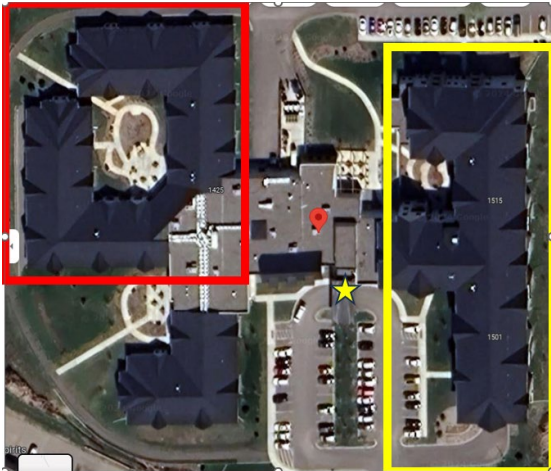
Compliant

**Validation methods:**

- Observation of the distinction of the separate spaces.
- Aerial photo and/or photos identifying the separation of settings.

**Narrative:**

The customized living services provided by Haven Homes Assisted living and Haven Homes Assisted Living Memory Care are provided in a space that is distinct from the space used by the nursing facility. The customized living setting is outlined in yellow in the aerial photo and the skilled nursing facility is outlined in red. The buildings share a main entry door that is shown by the yellow star in the photo. There is signage as you enter the building pointing to the opposite directions for the two different building areas.



*Aerial photo of Haven Homes campus*



*Signage by front lobby entrance with arrows to the facility and to Haven Homes Assisted Living*

## HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting documentation, on-site observations and interviews.



HCBS Rule requirement	Compliance determination
<p><b>The setting is selected by the individual from among a variety of setting options including non-disability specific settings.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> MnCHOICES support plan requirements: MnCHOICES support plan requirements: In the “What I Want my Life to Look Like” section of the support plan, using person-centered principles, the case manager/care coordinator must describe the person’s choice about housing. The case manager/care coordinator must have a conversation with the person for each of the statements on the signature sheet to ensure they understand what they are agreeing to and have the necessary information to make an informed choice. The case manager/care coordinator selects yes or no for each statement. If the person answers ‘no’ the case manager/care coordinator must review the content again in another format or discuss further with the person.</li> <li><input checked="" type="checkbox"/> Health plan and Lead Agency Review support plan audits: As required under Mn Statute 256B.0911, Subd. 29, “The written support plan must include:(d) (2) the individual's options and choices to meet identified needs, including all available options for: (iii) living arrangements; (v) service provided in a non-disability-specific setting;”</li> </ul> <p><b>Narrative:</b></p> <p>Case managers are required under State statute to offer and document all available options for living arrangements in the person’s support plan. Confirmation of this requirement was validated through interviews with residents at this setting. One resident interviewed reported that is originally from a small town in northern MN but she chose to move to this setting because her son lives in Maple Plain. She reports she would like to remain living at this setting.</p> <p>Another resident that was interviewed reported that she and her family chose this setting for her to live because her daughter lives five minutes away from the setting. Her son also lives close by and comes to visit every Sunday.</p>	Compliant
<p><b>The setting facilitates individual choice regarding services and supports, and who provides them.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting’s policy and procedure(s).</li> <li><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91,Subd. 24., “Residents have the right to be informed by the assisted living facility, prior to executing an assisted living contract, that other public and private services may</li> </ul>	Compliant

<p>be available and that the resident has the right to purchase, contract for, or obtain services from a provider other than the assisted living facility.”</p> <p><b>Narrative:</b></p> <p>Setting’s policy and handbook information are compliant with this right as required by state licensure requirements. Interviews with administration, direct care staff and residents all confirm that residents have the choice and option to receive services from providers not affiliated with Haven Homes. One resident interviewed stated she was waiting for her daughter to pick her up and bring her to an eye appointment at a community based provider’s office. The daughter did arrive after our interview and took the resident to her appointment.</p>	
<p><b>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of written lease or residency agreement</li> <li><input checked="" type="checkbox"/> State license requirements: This setting submitted a compliant Assisted Living lease/contract as required under MN Statute 144G.50 – 144G.57. MN Statute 144G.11 states, “Assisted Living facilities are subject to and must comply with Chapter 504B.” The lease/contract is required to be signed by the setting and the person receiving services or their representative.</li> </ul> <p><b>Narrative:</b></p> <p>Administrative staff interviewed confirm that residents receive a copy of the contract for review prior to signing and/or moving into the setting. Residents report that they did sign a contract when they moved in and are also notified when any changes are made to the contract. A copy of the assisted living contract was provided to the state and is compliant the state’s landlord tenant laws and HCBS requirements.</p>	Compliant
<p><b>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door with only appropriate staff having keys to doors.</b></p> <p><b>Validation methods:</b></p>	Compliant

<p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with residents.</p> <p><input checked="" type="checkbox"/> Rreview of setting’s policy and procedure</p> <p><input checked="" type="checkbox"/> Observation of lockable unit doors during on-site visit</p> <p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91,Subd. 13., “(a)Residents have the right to consideration of their privacy, individuality, and cultural identity as related to their social, religious, and psychological well-being. Staff must respect the privacy of a resident's space by knocking on the door and seeking consent before entering, except in an emergency or unless otherwise documented in the resident's service plan. (b) Residents have the right to have and use a lockable door to the resident's unit. The facility shall provide locks on the resident's unit. Only a staff member with a specific need to enter the unit shall have keys. This right may be restricted in certain circumstances if necessary for a resident's health and safety and documented in the resident's service plan.” (Refer to Rights Modification section)</p> <p><b>Narrative:</b></p> <p>Setting’s policy/procedure is compliant. Locks were observed on all of the apartment doors in the setting. People interviewed said they can lock their door when they want privacy or are away. During resident interviews, residents were able to show their keys and reported that they can lock their doors at anytime. Staff/administration reported that only appropriate staff have keys to the units per state licensing requirements.</p>	
<p><b>The setting facilitates that a person, who shares a bedroom/unit, is with a roommate of their choice.</b></p> <p><b>Validation methods:</b></p> <p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with residents.</p> <p><input checked="" type="checkbox"/> Rreview of setting’s policy and procedure</p> <p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, “Residents have the right to choose a roommate if sharing a unit.”</p> <p><b>Narrative:</b></p>	Compliant

<p>Setting’s policy/procedure is compliant. Staff reported people in the setting do not share rooms unless they share with a spouse, partner or other person of their choice per state licensing requirements. Residents reported they are not required to share a unit. The residents interviewed did not have roommates. However, there are two married couples at this setting that reside together in apartments per their choice.</p>	
<p><b>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting’s policy and procedure</li> <li><input checked="" type="checkbox"/> Observation of residents’ units during on-site visit</li> <li><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, “Residents have the right to furnish and decorate the resident's unit within the terms of the assisted living contract.”</li> </ul> <p><b>Narrative:</b></p> <p>Setting’s policy/procedure is compliant. Living units observed during the site visit were decorated according to the residents’ tastes and preferences, including family pictures and memorabilia, crafts, hobby items and religious iconography. Many residents put up personalized decorations in the entrance to their living units. Administration reported that people are allowed/encouraged to bring their personal decorations and furniture from home to decorate their units. During interviews residents confirmed that decorations and furniture were their personal belongings and they were able to decorate the unit as desired.</p>	<p>Compliant</p>



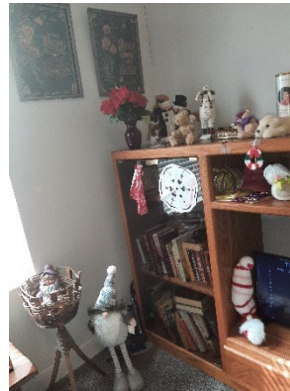
*Resident's decorated apartment door*



*Resident's decorated apartment door*



*Resident's living room furniture*



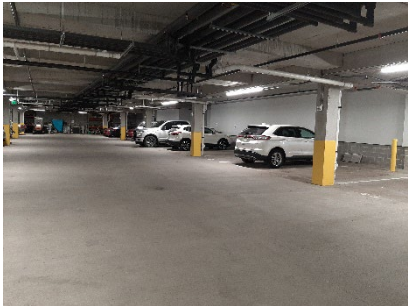
*Entertainment stand and decorations in resident's apartment*

**The setting provides people the freedom and support to control their daily schedules.**

**Validation methods:**

- Interview(s) with administrative staff.
- Interview(s) with residents.
- Review of setting's policy and procedure
- State license requirements: As required under MN Statute 144G.91, "Residents have the right to individual autonomy, initiative, and independence in making life choices, including establishing a daily schedule and choosing with whom to interact."

Compliant

<p><b>Narrative:</b></p> <p>Setting's policy/procedure is compliant. Administration/direct care staff confirmed that the setting supports residents' choices for their daily schedules. Residents interviewed reported they choose when to get up, go to bed, eat, what to do during their day etc. One resident reported she gets up and makes her own breakfast the way she likes it. While she is cooking, staff are in her apartment making her bed and opening her blinds for the day.</p> <p>Another resident confirmed she likes to wake up at 6am and she goes to bed at any time she chooses but usually around 10:30pm.</p> <p>Both residents interviewed reported they choose to do what they want to each day. One reported she enjoyed going to every activity offered and another resident reported she chooses to not participate in the setting's activities. She prefers to spend most of her time with her family and she also likes to be barefoot.</p> <p>The setting provides underground parking for residents to park their vehicles so residents with the ability to drive are free to come and go as they desire.</p>  <p><i>Underground parking for residents' vehicles</i></p>	
<p><b>The setting provides people access to food at any time.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting's policy and procedure</li> <li><input checked="" type="checkbox"/> Observation during on-site visit</li> <li><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to access food at any time. This right may be restricted"</li> </ul>	<p>Compliant</p>

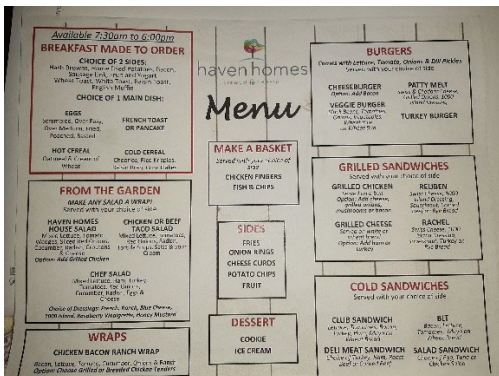
in certain circumstances if necessary for the resident's health and safety and if documented in the resident's service plan.”

**Narrative:**



Setting’s policy/procedure is compliant. Administration and direct care staff confirmed that the setting provides menu choices, snacks are always available, people store and prepare food in their own apartment. Residents also have the option to use Door Dash, Uber Eats or the local Target store delivers food also. Residents confirmed that they have access to food at any time. Residents have full kitchens in their apartments. Observed snack table, vending machines, alternative menus, ordering options available at this setting.



Lunch menu



Alternative menu offered daily

<p>Resident Handbook Addendum 3.0 :</p> <p>Just a reminder that there is water, coffee and fresh fruit available in our Conversation Café just off the mail Lobby!</p>  <p>Also, if you are ever not able to come down for a meal – please let any staff member know or please call the front desk at 763.292.2300 and they can help you get something to eat.</p>  <p><i>Photo of policy in Resident Handbook that shows the coffee and snack bar.</i></p>	
<p><b>The setting allows people to have visitors at any time.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting’s policy and procedure</li> <li><input checked="" type="checkbox"/> Observation of people coming and going during on-site visit</li> <li><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to meet with or receive visits at any time by the resident's family, guardian, conservator, health care agent, attorney, advocate, or religious or social work counselor, or any person of the resident's choosing. This right may be restricted in certain circumstances if necessary for the resident's health and safety and if documented in the resident's service plan." (See Rights Modification section):</li> </ul> <p><b>Narrative:</b></p> <p>Setting’s policy/procedure is compliant. Administration/direct care staff confirmed that the setting does not restrict visiting times or who people can visit with. Residents confirmed that their visitors come when they choose and are not</p>	<p>Compliant</p>



limited or restricted to visiting hours. Residents can visit with their friends/family in privacy in their own units or in any shared space within the building. The setting also has rooms that can be reserved for parties or private meetings. Observed visitors coming and going from the building and visiting with residents.



*Sitting area for visiting*



*Sitting area for visiting*



*Sitting are for visiting*



*Sitting area in game room*

**The setting provides opportunities for people to volunteer or seek employment and work in competitive integrated settings.**

**Validation methods:**

- Interview(s) with administrative staff.
- Interview(s) with residents.
- Review of setting’s policy and procedure
- Health plan and Lead Agency Review support plan audits: As required under Mn Statute 256B.0911, Subd. 29, “The written support plan must include:(d) (2) the individual's options and choices to meet identified needs, including all available options for: (ii) employment services, settings, and providers.”

Compliant

<p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to engage in community life and in activities of their choice. This includes the right to participate in commercial, religious, social, community, and political activities without interference and at their discretion if the activities do not infringe on the rights of other residents."</p> <p><b>Narrative:</b></p> <p>Setting's policy/procedure is compliant. Administration/direct care staff report that there are a few residents who choose to volunteer and /or work. One resident enjoyed volunteering helping at front reception desk. A different resident enjoys providing presentations for the other residents so venues are arranged for him to present on topics of interest. One resident still works as an independent contractor out of her apartment unit. Residents that were interviewed report that they are aware that they can volunteer/work if they choose to do so but they have no desire to at this time.</p>	
<p><b>The setting is physically accessible to the individual.</b></p> <p><b>Validation methods:</b></p> <p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with residents.</p> <p><input checked="" type="checkbox"/> Review of setting's policy and procedure</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.11 "Assisted living facilities:(1) are subject to and must comply with chapter 504B;" and MN Statute 363A.10, Subdivision 1. Reasonable modifications/accommodations. "For purposes of section 363A.09, discrimination includes:(1) a refusal to permit, at the expense of the disabled person, reasonable modifications of existing premises occupied or to be occupied by the disabled person if modifications may be necessary to afford the disabled person full enjoyment of the premises; a landlord may, where it is reasonable to do so, condition permission for a modification on the renter agreeing to restore the interior of the premises to the condition that existed before the modification, excluding reasonable wear and tear; (2) a refusal to make reasonable accommodations in rules, policies, practices, or services, when accommodations may be necessary to afford a disabled person equal opportunity to use and enjoy a dwelling."</p>	Compliant

**Narrative:**

Setting's policy/procedure is compliant. Administrative/direct support staff report the setting is fully accessible to the residents. Accommodations such as grab bars, shower chairs, wheelchair accessible kitchens/bathrooms and wide hallways are available to residents. Residents interviewed report they are able to use and access all areas of their unit and shared spaces within the building. One resident reported that the maintenance staff came and removed the lower cabinets from her sink area so her wheelchair would fit better. Observations made of grab bars, shower chairs were made during the site visit.



*Wide hallways for accessibility*



*Shower chair and grab bars in resident's shower*



*Resident's bathroom area with accessible sink, grab bars and toilet riser*

**Any modification of the rights specified in HCBS rule under [441.301\(c\)\(4\)\(vi\)\(A\) through \(D\)](#) must be supported by a specific assessed need and documented in the person-centered plan/ HCBS Rights Modification Support Plan Attachment.**

**Validation methods:**

- Interview(s) with administrative staff.

Compliant

<p><input type="checkbox"/> Review of person’s support plan, if a rights modification is in place.</p> <p><input checked="" type="checkbox"/> N/A. No residents at this setting, enrolled in Elderly Waiver, have a need for a Rights Modification at this time.</p> <p><input checked="" type="checkbox"/> State license requirements: As required under Mn Statute 144G.911, “The resident’s rights in section 144G.91, subdivisions 12, 13, and 18, may be restricted for an individual resident only if determined necessary for health and safety reasons identified by the facility through an initial assessment or reassessment under section 144G.70, subdivision 2, and documented in the written service plan under section 144G.70, subdivision 4. Any restrictions of those rights for people served under chapter 256S and section 256B.49 must be documented by the case manager in the resident’s support plan, as defined in sections 256B.49, subdivision 15, and 256S.10.”</p> <p><b>Narrative:</b></p> <p>Administrative/direct support staff report the setting does not have residents on Elderly Waiver with a Rights Modification at this time. There are no residents in the Assisted Living Memory Care apartments that are on Elderly Waiver.</p>	
<p><b>The setting provides people opportunities to access and engage in community life to the same degree as individuals not receiving Medicaid.</b></p> <p><b>Validation methods:</b></p> <p><input checked="" type="checkbox"/> Interview(s) with administrative staff.</p> <p><input checked="" type="checkbox"/> Interview(s) with residents.</p> <p><input checked="" type="checkbox"/> Review of setting’s policy and procedure, activity calendar(s)</p> <p><input checked="" type="checkbox"/> Observation of residents’ units during on-site visit</p> <p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to engage in community life and in activities of their choice. This includes the right to participate in commercial, religious, social, community, and political activities without interference and at their discretion if the activities do not infringe on the rights of other residents."</p> <p><b>Narrative:</b></p> <p>Setting’s policy/procedure is compliant. Administrative/direct support staff report transportation options available (See transportation section above for</p>	Compliant

more information on transportation options). Residents have the option to choose community based providers and services. Residents have the option to work or volunteer in the community if they choose.

The Assisted Living, Assisted Living Memory Care and Independent Living areas have a dedicated Life Enrichment Director that is in charge of the in-house and community based activities. The Life Enrichment Director places a monthly activity calendar in each person's cubby by their mailbox. The monthly/daily activities are also viewable on channel 91 on TV's located in shared spaces and in the residents apartments. All in-house and community based activities are also posted at the "Information Station" located near the lobby. Brocures for local events and the local senior center are also posted here. The local Delano senior center is a place where residents can attend any activities with other people who do not live on campus.

**List of community based activities:**

*The Minnesota Landscape Arboretum*

*Mystic Lake casino*

*Chanhassen Dinner Theater*

*Como Park Zoo*

*Grocery store in Delano MN*

*Various activities based on local community happenings*

*Residents often leave the setting with family and/or friends to visit the family farm or lake cabin, to go shopping, out to eat or attend community activities of their choosing.*

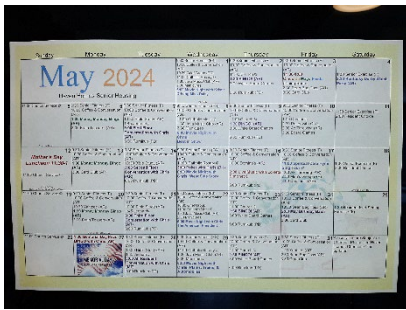
*One resident interviewed reported she attends every activity in-house and in the community because she enjoys being busy all day. Another resident interviewed reports that she prefers to not attend the setting's activities. She prefers to be in her room or just go places with her family because they live close by and visit often. She reports she didn't like to go out much before she moved here and prefers to remain the same now. She reports her "grandchildren love me so much". I have so many friends and family, that they keep me busy.*



Setting's accessible bus



Information Station



May activities calendar



Delano senior center activity calendar



Daily activities on TV screen in the lobby

**The setting supports the person's control of personal resources to the same degree as individuals not receiving Medicaid HCBS.**

**Validation methods:**

- Interview(s) with administrative staff.
- Interview(s) with residents.
- Review of setting's policy and procedure

Compliant

<p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to control personal resources."</p> <p><b>Narrative:</b></p> <p>Setting's policy/procedure is compliant. Per the setting's Uniform Disclosure of Assisted Living Services and Amenities and per interviews with administrative staff, this setting does not provide assistance with bill paying or budgeting. Residents may designate a guardian or authorized representative if assistance is needed. Per interviews with residents, residents reported that they have access to their own personal bank accounts, credit cards, cash and can access their funds at any time. One of the resident's interviewed reports that her daughter manages her budgeting and pays her bills for her per her choice.</p>	
<p><b>The setting ensures people's right to privacy.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting's policy and procedure</li> <li><input checked="" type="checkbox"/> Observation during on-site visit</li> </ul> <p><input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to consideration of their privacy, individuality, and cultural identity as related to their social, religious, and psychological well-being. Staff must respect the privacy of a resident's space by knocking on the door and seeking consent before entering, except in an emergency or unless otherwise documented in the resident's service plan." "Residents have the right to respect and privacy regarding the resident's service plan. Case discussion, consultation, examination, and treatment are confidential and must be conducted discreetly. Privacy must be respected during toileting, bathing, and other activities of personal hygiene, except as needed for resident safety or assistance." "Residents have the right to communicate privately with persons of their choice."</p> <p><b>Narrative:</b></p> <p>Setting's policy/procedure is compliant per state licensing requirements. Administrative/direct support staff report the setting ensures residents privacy by providing personal cares in residents apartment or in private areas, not</p>	Compliant

sharing private information about residents in hallways, etc. Residents reported they feel their privacy is protected. Staff were observed knocking on residents unit doors prior to entering. Personal information about residents was not observed anywhere in public spaces during the on site visit. Residents also have their own private lockable mailboxes, cell phones and apartment phones for private communications.



*Mailboxes with locks for mail and cubbys for public information*

**The setting ensures people’s dignity and respect.**

**Validation methods:**

- Interview(s) with administrative staff.
- Interview(s) with residents.
- Review of setting’s policy and procedure
- Observation during on-site visit
- State license requirements: As required under MN Statute 144G.91, "Residents have the right to be treated with courtesy and respect, and to have the resident's property treated with respect."

**Narrative:**

Setting’s policy/procedure is compliant. Administrative/direct support staff report the setting ensures residents are treated with dignity and respect as required by state licensure laws. Residents reported during interviews that they feel they are respected. One resident reported the care here is “excellent”. During the onsite visit, observations were made of staff calling residents by

Compliant



<p>preferred names, staff talking respectfully with residents and staff caring for residents in a kind and respectful manner.</p>	
<p><b>The setting ensures people’s freedom from coercion and restraint.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> <li><input checked="" type="checkbox"/> Interview(s) with residents.</li> <li><input checked="" type="checkbox"/> Review of setting’s policy and procedure</li> <li><input checked="" type="checkbox"/> Observation during on-site visit</li> <li><input checked="" type="checkbox"/> State license requirements: As required under Mn Statute 144G.42,” The assisted living facility must comply with the requirements for the reporting of maltreatment of vulnerable adults in section 626.557. The facility must establish and implement a written procedure to ensure that all cases of suspected maltreatment are reported. And, Mn Statute 144G.63, “proper orientation and annual training is given to staff on the Vulnerable Adults Act, as well as the Assisted Living Bill of Rights and staff responsibilities related to ensuring the exercise and protection of those rights.” Mn Rule 9555.7200 Subp.2, "Abuse" means: the intentional and nontherapeutic infliction of physical pain or injury, or any persistent course of conduct intended to produce mental or emotional distress”. The Elderly Waiver plan states, customized living services must, “Ensure that participants are treated with dignity and respect and are free from coercion and restraint.”</li> </ul> <p><b>Narrative:</b></p> <p>Setting’s policy/procedure is compliant as required per state licensing requirements. The settings handbook includes, “Haven Homes does not allow the use of physical restraints”. Residents are free to come and go from the setting as they desire. Observations were made of residents freely moving in and out of the building with and without staff/family. Residents had keys and key fobs to access the building front door if returning late.</p>	<p>Compliant</p>
<p><b>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</b></p> <p><b>Validation methods:</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Interview(s) with administrative staff.</li> </ul>	<p>Compliant</p>

<p> <input checked="" type="checkbox"/> Interview(s) with residents.  <input checked="" type="checkbox"/> Review of setting's policy and procedure  <input checked="" type="checkbox"/> Observation made during on-site visit  <input checked="" type="checkbox"/> State license requirements: As required under MN Statute 144G.91, "Residents have the right to individual autonomy, initiative, and independence in making life choices, including establishing a daily schedule and choosing with whom to interact." </p> <p><b>Narrative:</b></p> <p>Setting's policy/procedure is compliant. This requirement was confirmed by administrative/direct care staff during interviews. Staff report that residents are free to make their own life choices and choose who they want to spend their time with. Visitors are welcome at any time. Per resident interviews, residents reported varying degrees of interest in facility and community based activities. Residents interviewed reported they choose who they want to spend their time with and if they want to attend activities, eat in the dining room or in their own apartment, stay or leave the setting to attend events or appointments in the community or just to spend time with family or friends.</p>	
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## Additional pictures of the HCBS setting



*Haven Homes dining room*



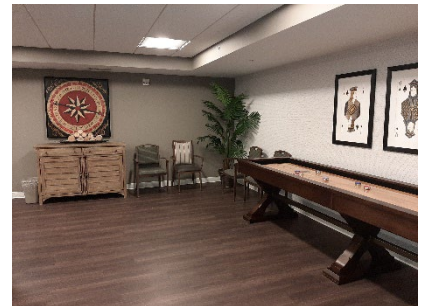
*Activity room*



*Picture near the theater on site*



*Card table in game area*



*Shuffle board in game room area*



*Outdoor seating area*



*Outdoor patio with tables and chairs*

# Public comment summary

(Text box: Enter summary link here)

# Minnesota's recommendation

Date of recommendation: